

NOV 1 0 2006

# North America Intellectual Property Corporation

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

FAX: 806-498-6673

e-mail:winstonhsu@naipo.com

Customer No.: 27765

Fax To: WOLLSCHLAGER, JEFFREY MICHAEL

Tel.: (571) 272-8937

Fax: (571) 273-8300

From: Winston Hsu, Registration No. 41,526

Serial No.: 10/709,708

Art Unit: 2732

Attorney Docket No.: OTMP0078USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 6 pages (including cover page)

Winston Hsu

11/10/2006

### NOV 1 0 2006

PTO/S8/21 (07-06)

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			Application Number 10/709,708					
TRANSMITTAL FORM				Filing Date	05/24/	05/24/2004		
				First Named Inventor	Chien-	Chien-Hung HSU		
				Art Unit	1732	1732		
(to be used for all correspondence after initial filing)				Examiner Name	WOLL	WOLLSCHLAGER, JEFFREY MICHAEL		
N Indo	umber of Pa	ges in This Submission	5	Attorney Docket Number	ОТМР	OTMP0078USA		
ENCLOSURES (Check all that apply)								
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Afthavita/dectaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement			Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Address  Ferminal Disclatmer  Request for Refund  CD, Number of CD(s)  Landscape Table on CD		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Statue Letter Other Enclosure(s) (please Identify below):			
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53								
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Printed name Winston Hsu								
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CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patonte, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:								
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Tuned or printed name   Zine Huang						Date	NOV 1 0 2008	

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PTO/98/17 (07-06)
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(rademark Office; U.S. DEPARTMENT OF COMMERCE aformation unless it displays a velid OMB control Under the Paperwork Reduction Act of 1995 no persons are required to Complete if Known Effective on 12/08/2004. Foes pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4618). 10/709,708 Application Number TRANSMI 05/24/2004 Filing Date For FY 2005 Chien-Hung HSU First Numed Inventor WOLLSCHLAGER, JEFFREY MICHAEL Examiner Name See 37 CFR 1.27 Applicant claims small entity status. Art Unit TOTAL AMOUNT OF PAYMENT 0.00 OTMP0078USA Attorney Docket No METHOD OF PAYMENT (check all that apply) Check Credit Card | Money Order Other (please identify): Deposit Account Deposit Account Number: 50-3105 Deposit Account Name North America Intellectual Property Corporation For the above identified doposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Charge any autonorminosop, under 37 CFR 1.16 and 1.17 Credit any overpayments WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2618. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEA **EXAMINATION FEES** SEARCH FEES Small Entity Small Entity Small Entity Fees Paid (\$) Fee (\$) Fee (\$) **Application Type** Fge (\$) Feg. (\$) Fee (1) 200 100 300 500 Utility 250 150 130 200 100 65 Design 100 50 160 80 Plant 200 100 300 150 300 500 250 600 300 Reissue 150 Provisional 200 Û 0 100 EXCESS CLAIM FEES Small Entity Fee (\$) Fee Description Fee (\$) Each claim over 20 (including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) 180 360 Multiple dependent claims Multiple Dependent Claims Fee Paid (\$) Total Claims Extra Claims Foo (\$1 Foo (\$) Fee Paid (3) - 20 or HP -HP = highest number of total claims paid for, if greater than 20. Feo (\$) Extre Claims Fee Paid (\$) Indep. Claims HP = highest number of independent deims paid for, if greater than 3 **APPLICATION SIZE FEE** If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(6) and 37 CFR 1.16(s).

Extra Sheets

Number of each additional to or fraction thereof. Fee (\$) \_ (round up to a whole number) 150 4. OTHER FEE(S) Foos Paid (\$) Non-English Specification, \$130 for (no small catity discount) Other (e.g., late filing surcharge): SUBMITTED BY Registration No. Signaturo 3027291562 Colemator 6to. (Altornay/Agetti)

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PTO/SB/08# (07-06)

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Under the Paperwork Reduction Act of 1985, no persons are mounted to respond to a collection of intermation unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/709,708 Filing Date 05/24/2004 INFORMATION DISCLOSURE First Named Inventor Chien-Hung HSU STATEMENT BY APPLICANT 1732 Art Unit Examiner Name WOLLSCHLAGER, JEFFREY MICHAEL (Use as many shoots as necessary) OTMP0078USA **Attorney Docket Number** Sheet

	_			DOCUMENTS	
Examiner Initials*	No.	Document Number  Number-Kind Code <sup>2 (Number</sup>	Publication Date MM-DD-YYYY	Name of Patentine or Applicant of Cited Document	Peges, Columna, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PAYENT DOCUMENTS						
Exeminer initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant a Applicant's unique citation designation number (optional). 2 Sem Kinds Codes of USPTO Patent Documents at <a href="https://www.usprto.gov">www.usprto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard 97.3). 4 For Japanese patent documents, the indication of the year of the reign of the Enter Office that issued the serial number of the patent document. 5 Kind of document to the page of the English tanguage to the page of th Translation to attached

Trainstant is autocred.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and experiting the completed application form to the USPTO. There will vary depending upon the individual case. Any comments on the enough of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patentis, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### NOV 1 0 2006 ·

#### PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chien-Hung Hsu, et al.

5 Appl. No.: 10/709,708

Filing Date: 05/24/2004

Examiner: WOLLSCHLAGER, JEFFREY MICHAEL

Art Unit: 1732

Docket No.: OTMP0078USA

Confirmation No.: 3707

10 Title: Method for forming microstructure optical elements

To: Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

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Subject: Information disclosure statement under 37 CFR \$1.56

20 Dear Sir,

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR \$1.56. The applicant wishes to make of record the document(s) listed on the accompanying form PTO/SB/08.

Since this IDS is filed after the mailing date of the first Office action but before final Office action/notice of allowance, consideration of the information disclosure statement is hereby requested according to 37 CFR \$1.97(c).

That each item of information contained in the information disclosure statement was first cited in an Office communication mailed on October 13, 2006 for the counterpart China patent application number 03143899.7, which are no more than three months prior to the filing of the information disclosure statement.

It is respectfully requested that the examiner can consider the document(s) listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited reference(s) on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

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Respectfully submitted,

Wenton Have

NOV. 1 O 2006 Date:

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

25 e-mail: winstonhsu@naipo.com

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time, i.e. 9 AM in D.C. = 10 PM in Taiwan.)